



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Albert R. Deisseroth and Lixin Zhang

Title: ADENOVIRAL VECTOR VACCINE

Appl. No.: 10/534,605

Filing Date: 05/11/2005

Examiner:

Art Unit:

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INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.56

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Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56.

A copy of each non-U.S. patent document and each non-patent document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.

RELEVANCE OF EACH DOCUMENT

All of the documents are in English.

Applicants respectfully request that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 50-0872. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-0872.

Respectfully submitted,

Date July 19, 2005

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Customer Number: 30542
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Barry S. Wilson
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| <div style="border: 1px solid black; border-radius: 50%; padding: 10px; display: inline-block; text-align: center;"> OIPE AUG 04 2005 U.S. PATENT AND TRADEMARK OFFICE </div> <p>Substitute for form 1449B/PTO</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>(use as many sheets as necessary)</p> <p>Sheet <u>1</u> of <u>9</u></p> | <p style="text-align: center;">Complete if Known</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td>10/534,605</td> </tr> <tr> <td>Filing Date</td> <td>May 11, 2005</td> </tr> <tr> <td>First Named Inventor</td> <td>Albert B. Deisseroth</td> </tr> <tr> <td>Group Art Unit</td> <td></td> </tr> <tr> <td>Examiner Name</td> <td></td> </tr> <tr> <td>Attorney Docket Number</td> <td>036222-0212</td> </tr> </table> | Application Number | 10/534,605 | Filing Date | May 11, 2005 | First Named Inventor | Albert B. Deisseroth | Group Art Unit | | Examiner Name | | Attorney Docket Number | 036222-0212 |
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| Examiner Initials* | Cite No. ¹ | U.S. Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
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| NON PATENT LITERATURE DOCUMENTS | | | | |
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| Examiner Initial s* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published. | | T ⁶ |
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NON PATENT LITERATURE DOCUMENTS

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| | | | | Filing Date | May 11, 2005 |
| | | | | First Named Inventor | Albert B. Deisseroth |
| | | | | Group Art Unit | |
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